

Test Checklist for Quarterly Compliance under SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015			
Regulation	Particulars	Timelimit	Due Date
xx	The listed entity shall file with the recognised stock exchange(s) on a quarterly basis, within twenty one days from the end of each quarter, a statement giving the number of investor complaints pending at the beginning of the quarter, those received during the quarter, disposed of during the quarter and those remaining unresolved at the end of the quarter. (in xbrl only)	T+21	xx
xx	The management of the unlisted subsidiary shall periodically bring to the notice of the board of directors of the listed entity, a statement of all significant transactions and arrangements entered into by the unlisted subsidiary.	T+45	xx
xx	The listed entity shall submit a quarterly compliance report on corporate governance in the format as specified by the Board from time to time to the recognised stock exchange(s) within twenty one days from the end of each quarter. (in xbrl only)	T+21	xx
xx	31(1) The listed entity shall submit to the stock exchange(s) a statement showing holding of securities and shareholding pattern separately for each class of securities, in the format specified by the Board from time to time within the following timelines - 31(1)(b) on a quarterly basis, within twenty one days from the end of each quarter; (in xbrl only)	T+21	xx
xx	The statement(s) specified in sub-regulation (1), shall be placed before the audit committee for review and after such review, shall be submitted to the stock exchange(s).	T+45	xx
xx	The limited review report shall be placed before the board of directors, at its meeting which approves the financial results, before being submitted to the stock exchange(s).	T+45	xx
xx	In case the listed entity has subsidiaries, in addition to the requirement at clause (a) of sub-regulation (3), the listed entity shall also submit quarterly/year-to-date consolidated financial results (in pdf as well as xbrl)	T+45	xx
xx	The listed entity shall also submit as part of its standalone or consolidated financial results for the half year, by way of a note, a statement of assets and liabilities as at the end of the half-year. (in pdf as well as xbrl)	T+45	xx
xx	The listed entity shall disclose to the Exchange(s), within 30 minutes of the closure of the meeting, held to consider the financial results	T+30Mins	xx
xx	The listed entity shall update any change in the content of its website within two working days from the date of such change in content.	T+2	xx

Test Checklist for Compliance under SEBI (Prohibition of Insider Trading) Regulations, 2015			
Regulation	Particulars	Timelimit	Due Date
xx	With respect to financial results, the listed company shall specify the 1st day (T-day) immediately after the end of every quarter for which results are to be announced, as 'Trading Window Closure commencement date' and the date on which 48 hours ends post disclosure of financial results, as 'Trading Window Closure End date' in the portal/platform. The listed company shall provide the aforesaid details at least 2 trading days prior to the commencement of trading window closure date (T-2 days). For example, for financial results for the quarter ending September 30, 2023, the listed company shall confirm the details by September 29, 2023.	T-2	xx

Test Checklist for Compliance under SEBI (Depositories and Participants) Regulation, 2018			
Regulation	Particulars	Timelimit	Due Date
xx	Every issuer shall submit audit report on a quarterly basis, starting from September 30, 2003, to the concerned stock exchanges audited by a qualified Chartered Accountant or a practicing Company Secretary or a practicing Cost Accountant, for the purposes of reconciliation of the total issued capital, listed capital and capital held by depositories in dematerialized form, the details of changes in share capital during the quarter and the in-principle approval obtained by the issuer from all the stock exchanges where it is listed in respect of such further issued capital. (in xbrl as well as pdf)	T+30	xx